

# New perspectives in hermitian K-theory II

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Joint work with Baptiste Calmès, Emanuele Dotto, Fabian Hebestreit, Markus Land, Kristian Moi, Denis Nardin, Thomas Nikolaus and Wolfgang Steimle.

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- A *hermitian functor*  $(f, \eta): (\mathcal{C}, \mathcal{Q}) \rightarrow (\mathcal{C}', \mathcal{Q}')$  consists of an exact functor  $f: \mathcal{C} \rightarrow \mathcal{C}'$  and a natural transformation  $\eta: \mathcal{Q} \Rightarrow f^* \mathcal{Q}'$ .

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- $(\mathcal{C}, \mathcal{Q})$  is *Poincaré* if there exists an equivalence of  $\infty$ -categories  $D: \mathcal{C}^{\text{op}} \rightarrow \mathcal{C}$  together with a natural equivalence

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- $\mathcal{D} = \mathcal{D}_{\mathcal{Q}}$  is essentially uniquely determined by  $\mathcal{Q}$ , and is called the *duality* associated to  $\mathcal{Q}$ .

# The category of Poincaré $\infty$ -categories

Any hermitian functor  $(f, \eta): (\mathcal{C}, \mathcal{Q}) \rightarrow (\mathcal{C}', \mathcal{Q}')$  between Poincaré  $\infty$ -categories determines a natural transformation

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## Proposition

The  $\infty$ -category  $\text{Cat}_\infty^{\text{P}}$  admits small limits and colimits, and the forgetful functor

$$\text{Cat}_\infty^{\text{P}} \rightarrow \text{Cat}_\infty^{\text{ex}}$$

preserves small limits and colimits, where  $\text{Cat}_\infty^{\text{ex}}$  is the  $\infty$ -category of stable  $\infty$ -categories and exact functors.

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## Non-example

The functor  $\text{Pn}(-)$  is product preserving but not group-like.

# Poincaré-Verdier sequences

## Definition

A sequence of Poincaré functors

$$(\mathcal{C}, \Omega) \xrightarrow{(f, \eta)} (\mathcal{D}, \Phi) \xrightarrow{(p, \vartheta)} (\mathcal{E}, \Psi)$$

with vanishing composite is called a *Poincaré-Verdier sequence* if it is both a fiber and a cofiber sequence in  $\mathbf{Cat}_\infty^{\mathbf{P}}$ . The sequence is said to *split* if  $p$  admits both a left and a right adjoint.

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Poincaré functors which feature on the left in (split) Poincaré-Verdier sequences are called (split) *Poincaré-Verdier inclusions*, and those which participate on the right (split) *Poincaré-Verdier projections*.

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- A functor  $(p, \vartheta): (\mathcal{D}, \Phi) \rightarrow (\mathcal{E}, \Psi)$  is a split Poincaré-Verdier projection if and only if  $p$  admits a fully-faithful left adjoint  $g: \mathcal{E} \rightarrow \mathcal{D}$  and the composed map  $g^* \Psi \xrightarrow{g^* \vartheta} g^* p^* \Phi \Longrightarrow \Phi$  is an equivalence.

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We call  $\text{Met}(\mathcal{C}, \mathcal{Q})$  the *metabolic Poincaré  $\infty$ -category* of  $(\mathcal{C}, \mathcal{Q})$ . Its Poincaré objects correspond to metabolic Poincaré objects in  $(\mathcal{C}, \mathcal{Q})$ .

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## The metabolic sequence

There is a canonical split Poincaré-Verdier sequence

$$\begin{array}{ccccc} (\mathcal{C}, \varphi^{[-1]}) & \longrightarrow & \text{Met}(\mathcal{C}, \varphi) & \longrightarrow & (\mathcal{C}, \varphi) \\ & & [L \rightarrow X] & \longmapsto & X \end{array}$$

# Poincaré-Verdier squares

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A commutative square of Poincaré  $\infty$ -categories

$$\begin{array}{ccc} (\mathcal{C}, \Psi) & \longrightarrow & (\mathcal{D}, \Phi) \\ \downarrow & & \downarrow \\ (\mathcal{C}', \Psi') & \longrightarrow & (\mathcal{D}', \Phi') \end{array}$$

is called a (split) Poincaré-Verdier square if it is cartesian and its vertical legs are (split) Poincaré-Verdier projections.

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Let  $\mathcal{E}$  be an  $\infty$ -category with finite limits and  $\mathcal{F}: \text{Cat}_{\infty}^{\text{P}} \rightarrow \mathcal{E}$  a functor which preserves final objects. We say that  $\mathcal{F}$  is *Verdier-localizing* if it sends Poincaré-Verdier squares to fiber squares, and *additive* if it sends split Poincaré-Verdier squares to fiber squares.

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## Example

The functor  $\text{Pn}: \text{Cat}_{\infty}^{\text{P}} \rightarrow \mathcal{S}$  is Verdier-localizing.

# More examples

In the diamond diagram

$$\begin{array}{ccccc} & & (\mathcal{C}, \mathcal{Y}) & & \\ & & \downarrow s & \searrow \text{id} & \\ \text{Met}(\mathcal{C}, \mathcal{Y}) & \longrightarrow & Q_1(\mathcal{C}, \mathcal{Y}) & \xrightarrow{d_1} & (\mathcal{C}, \mathcal{Y}) \\ & \searrow & \downarrow & & \\ & & \text{Hyp}(\mathcal{C}) & & \end{array}$$

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both the vertical and the horizontal sequence are split Poincaré-Verdier sequences. Here the bottom vertical functor sends

$[X \xleftarrow{\alpha} W \xrightarrow{\beta} X'] \in \mathcal{Q}_1(\mathcal{C})$  to  $(\text{fib}[\alpha], D \text{ cof}[\beta]) \in \mathcal{C} \times \mathcal{C}^{\text{op}}$ .

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## Corollary

If  $\mathcal{F}: \text{Cat}_{\infty}^{\text{P}} \rightarrow \mathcal{E}$  is a group-like additive functor then

$$\mathcal{F}(\mathcal{Q}_1(\mathcal{C}, \mathcal{Q})) \simeq \mathcal{F}(\mathcal{C}, \mathcal{Q}) \times \mathcal{F}(\text{Met}(\mathcal{C}, \mathcal{Q})) \simeq \mathcal{F}(\mathcal{C}, \mathcal{Q}) \times \mathcal{F}(\text{Hyp}(\mathcal{C}))$$

and

$$\mathcal{F}(\text{Met}(\mathcal{C}, \mathcal{Q})) \simeq \mathcal{F}(\text{Hyp}(\mathcal{C})).$$

# Additivity for the Grothendieck-Witt space

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## The fibration theorem

*If  $(\mathcal{D}, \Phi) \rightarrow (\mathcal{E}, \Psi)$  is a split Poincaré-Verdier projection then the induced functor*

$$p_*: \text{Cob}(\mathcal{D}, \Phi) \rightarrow \text{Cob}(\mathcal{E}, \Psi)$$

*is a bicartesian fibration.*

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When  $p_*$  is a bicartesian fibration every cobordism

$$(X, q) \leftarrow (W, \eta) \rightarrow (X', q')$$

in  $\text{Cat}^b(\mathcal{E}, \Psi)$  induces an adjunction between the fibers of  $p_*$  over  $(X, q)$  and  $(X', q')$ .

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## Corollary

The functors  $(\mathcal{C}, \Omega) \mapsto |\text{Cob}(\mathcal{C}, \Omega)|$  and  $(\mathcal{C}, \Omega) \mapsto \mathcal{GW}(\mathcal{C}, \Omega)$  are additive.

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To given an idea of the proof, let us just indicate how one can find  $p_*$ -(co)cartesian edges in  $\mathbf{Cob}(\mathcal{D}, \Phi)$ . For this, we note that the fibration theorem has a non-hermitian precursor:

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## Lemma

*Let  $(p, \vartheta): (\mathcal{D}, \Phi) \rightarrow (\mathcal{E}, \Psi)$  be a split Poincaré-Verdier projection and let  $\beta: W \rightarrow Y$  be a  $p$ -cocartesian edge in  $\mathcal{D}$ . Then the map  $\Phi(Y) \rightarrow \Phi(W) \times_{\Psi(p(W))} \Psi(p(Y))$  is an equivalence.*

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## Proof.

Let  $g: \mathcal{E} \rightarrow \mathcal{D}$  be a fully-faithful left adjoint to  $p$ . Then an arrow  $\beta: W \rightarrow Y$  is  $p$ -cocartesian if and only if the square

$$\begin{array}{ccc} gp(W) & \xrightarrow{gp(\beta)} & gp(Y) \\ \downarrow \nu & & \downarrow \nu \\ W & \xrightarrow{\beta} & Y \end{array}$$

is exact. By the identification  $\Phi(g(-)) \simeq \Psi(-)$  it will suffice to show that  $\Phi$  sends the above square to an exact square of spectra. Since  $\Phi$  is quadratic, the obstruction to this is the spectrum

$$\begin{aligned} B_{\Phi}(\text{cof}[gp(\beta)], \text{cof}[\nu]) &= \text{hom}_{\mathcal{D}}(\text{cof}[gp(\beta)], D_{\Phi} \text{cof}[\nu]) \\ &= \text{hom}_{\mathcal{E}}(\text{cof}[p(\beta)], D_{\Psi} \text{cof}[p(\nu)]) = 0 \quad \square \end{aligned}$$

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Given a Poincaré object  $(X, q)$  in  $(\mathcal{D}, \Phi)$  and a cobordism

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For  $R$  a ring and  $M$  an invertible module with involution over  $R$  one has natural equivalences of Poincaré  $\infty$ -categories, yielding four types of fundamental theorems for rings:

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When 2 is not invertible, the last two statements apply to classical  $\mathcal{U}$ - and  $\mathcal{V}$ -theory of rings.



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When 2 is not invertible, the last two statements apply to classical  $\mathcal{U}$ - and  $\mathcal{V}$ -theory of rings. They give a generalization of Karoubi's fundamental theorem conjectured by Karoubi and Giffen.

# More consequences of additivity

## Definition

For  $(\mathcal{C}, \mathcal{Q})$  Poincaré, a full stable subcategory  $\mathcal{A} \subseteq \mathcal{C}$  is called *isotropic* if

- $\mathcal{Q}$  vanishes when restricted to  $\mathcal{A}$ .
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## Example

- $\mathrm{Met}(\mathcal{C}, \mathcal{Q})$  admits a Lagrangian consisting of the equivalences  $L \xrightarrow{\cong} X$
- $\mathcal{Q}_1(\mathcal{C}, \mathcal{Q})$  admits an isotropic subcategory consisting of the spans of the form  $0 \leftarrow W \xrightarrow{\cong} X$ . Its homology is canonically equivalence to  $(\mathcal{C}, \mathcal{Q})$ .
- $\mathcal{Q}_n(\mathcal{C}, \mathcal{Q})$  admits an isotropic subcategory with homology  $\mathcal{Q}_{n-1}(\mathcal{C}, \mathcal{Q})$  consisting of the sequence of spans  $0 \leftarrow 0 \rightarrow 0 \leftarrow \dots \rightarrow 0 \leftarrow W \xrightarrow{\cong} X$ .

# Isotropic decomposition

## Proposition

Let  $(\mathcal{C}, \mathcal{Q})$  be a Poincaré  $\infty$ -category with an isotropic subcategory  $\mathcal{A} \subseteq \mathcal{C}$ . Then for a group-like additive functor  $\mathcal{F}: \text{Cat}_{\infty}^{\text{p}} \rightarrow \mathcal{E}$  there is a canonical decomposition

$$\mathcal{F}(\mathcal{C}, \mathcal{Q}) \simeq \mathcal{F}(\text{Hlgy}(\mathcal{A})) \times \mathcal{F}(\text{Hyp}(\mathcal{A})).$$

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## Corollary

If  $(\mathcal{C}, \Omega)$  is a Poincaré  $\infty$ -category with isotropic subcategory  $\mathcal{A} \subseteq \mathcal{C}$  then

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For every group-like additive functor  $\mathcal{F}: \text{Cat}_\infty^{\text{P}} \rightarrow \mathcal{E}$  we have

$$\mathcal{F}(\mathcal{Q}_n(\mathcal{C}, \Psi)) \simeq \mathcal{F}(\mathcal{C}, \Psi) \times \mathcal{F}(\text{Hyp}(\mathcal{C}))^n.$$

In particular

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## Example: additivity of K-theory

Let  $\mathcal{C} \xrightarrow{i} \mathcal{D} \xrightarrow{p} \mathcal{E}$  be a fiber sequence of stable  $\infty$ -categories such that  $p$  admits a fully-faithful right adjoint  $r: \mathcal{E} \rightarrow \mathcal{D}$ .

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## Corollary (Waldhausen additivity)

$$\mathcal{K}(\mathcal{D}) \simeq \mathcal{K}(\mathcal{C}) \times \mathcal{K}(\mathcal{E}).$$

# Towards a Grothendieck-Witt spectrum

Extending the perspective:

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Extending the perspective:

## Cobordisms categories with coefficients

Let  $\mathcal{F}: \text{Cat}_\infty^{\text{P}} \rightarrow \mathcal{S}$  be an additive functor and  $(\mathcal{C}, \mathcal{Q})$  a Poincaré  $\infty$ -category. Then the simplicial space  $\mathcal{F}Q_\bullet(\mathcal{C}, \mathcal{Q}^{[1]})$  is a Segal space. Define  $\text{Cob}^{\mathcal{F}}(\mathcal{C}, \mathcal{Q})$  to be the associated  $\infty$ -category.

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- If  $\mathcal{F}$  is additive and group-like then by isotropic decomposition

$$\mathcal{F}Q_\bullet(\mathcal{C}, \mathcal{Q}^{[1]}) \simeq \mathcal{F}(\mathcal{C}, \mathcal{Q}^{[1]}) \times \mathcal{F}(\text{Hyp}(\mathcal{C}))^\bullet$$

is not just a Segal object, but a *groupoid object*, which is the action groupoid (known also as the bar construction) associated to the translation action of  $\mathcal{F}(\text{Hyp}(\mathcal{C}))$  on  $\mathcal{F}(\mathcal{C}, \mathcal{Q}^{[1]})$  via the map induced by the Poincaré functor  $\text{Hyp}(\mathcal{C}) \rightarrow (\mathcal{C}, \mathcal{Q}^{[1]})$  sending  $(X, Y) \mapsto X \oplus D_{\mathcal{Q}^{[1]}} Y$ .

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## The generalized fibration theorem

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$\Rightarrow$  We can iterate this construction.

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- This follows from the additivity of  $\mathcal{F}$  applied in the case of metabolic sequence of  $(\mathcal{C}, \mathcal{Q}^{[1]})$ .



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## Example

For the hyperbolic Poincaré  $\infty$ -category  $\mathrm{Hyp}(\mathcal{C})$  one has a canonical equivalence  $\mathrm{GW}(\mathrm{Hyp}(\mathcal{C})) \simeq \mathrm{K}(\mathcal{C})$ .

# Spectral Bott-Genauer sequence

Applying additivity in the case of the metabolic sequence yields

The Bott-Genauer sequence (spectral version)

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Corollary

*The negative homotopy groups of  $\mathrm{GW}(\mathcal{C}, \mathcal{Q})$  are the negative L-groups.*

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$\Rightarrow \mathcal{G}\mathcal{W}$  is the loop-suspension of  $\mathbf{Pn}$  in  $\mathrm{Fun}^{\mathrm{add}}(\mathrm{Cat}_\infty^{\mathbf{P}}, \mathcal{S})$ .

# Universality of Grothendieck-Witt theory

## Theorem (Universality for $\mathcal{G}\mathcal{W}$ )

*The natural transformation  $\mathbf{Pn} \Rightarrow \mathcal{G}\mathcal{W}$  exhibits  $\mathcal{G}\mathcal{W}$  as the initial group-like additive functor to spaces under  $\mathbf{Pn}$ .*

## Theorem (Universality for $\mathcal{G}\mathcal{W}$ )

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Both of these theorems can be deduced from the following key statement:

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$\Rightarrow \mathcal{G}\mathcal{W}$  is the loop-suspension of  $\mathbf{Pn}$  in  $\mathrm{Fun}^{\mathrm{add}}(\mathrm{Cat}_\infty^{\mathbf{P}}, \mathcal{S})$ .  $\mathcal{G}\mathcal{W}$  is the suspension spectrum of  $\mathcal{G}\mathcal{W}$ .

# Proof of the key statement

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# Proof of the key statement

## Proposition (Q-construction is suspension)

*The operation  $\mathcal{F} \mapsto |\mathrm{Cob}^{\mathcal{F}}(-)| = |\mathcal{F} \mathbf{Q}_{\bullet}(\mathcal{C}, \mathcal{Y}^{[1]})|$  realizes the suspension in the  $\infty$ -category  $\mathrm{Fun}^{\mathrm{add}}(\mathrm{Cat}_{\infty}^{\mathcal{P}}, \mathcal{S})$  of space valued additive functors.*

Define Poincaré  $\infty$ -categories  $\mathrm{Null}_n(\mathcal{C}, \mathcal{Y})$  as the fiber of

$$i_0^*: \mathbf{Q}_{n+1}(\mathcal{C}, \mathcal{Y}) \rightarrow \mathbf{Q}_0(\mathcal{C}, \mathcal{Y}) = (\mathcal{C}, \mathcal{Y}),$$

where  $i_0: [0] \rightarrow [n]$  has image  $\{0\}$ .

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where  $i_0: [0] \rightarrow [n]$  has image  $\{0\}$ . One obtains a square of simplicial Poincaré  $\infty$ -categories

$$\begin{array}{ccc} (\mathcal{C}, \mathcal{Q}) & \longrightarrow & \mathrm{Null}_{\bullet}(\mathcal{C}, \mathcal{Q}^{[1]}) \\ \downarrow & & \downarrow \\ 0 & \longrightarrow & \mathbf{Q}_{\bullet}(\mathcal{C}, \mathcal{Q}^{[1]}) \end{array}$$

where the right vertical map is restricted from the face maps  $d_0: \mathbf{Q}_{\bullet+1}(\mathcal{C}, \mathcal{Q}^{[1]}) \rightarrow \mathbf{Q}_{\bullet}(\mathcal{C}, \mathcal{Q}^{[1]})$ .



# Proof of the key statement

Goal: show that if  $\mathcal{F}: \text{Cat}_\infty^{\text{p}} \rightarrow \mathcal{S}$  is additive then

$$\begin{array}{ccc} \mathcal{F}(-) & \longrightarrow & |\mathcal{F} \text{Null}_\bullet(-^{[1]})| \simeq |\text{Cob}_{0'}^{\mathcal{F}}(-)| \simeq * \\ \downarrow & & \downarrow \\ * & \longrightarrow & |\mathcal{F} \text{Q}_\bullet(-^{[1]})| \simeq |\text{Cob}^{\mathcal{F}}(-)| \end{array}$$

is cocartesian in  $\text{Fun}^{\text{add}}(\text{Cat}_\infty^{\text{p}}, \mathcal{S})$ . Here the top right corner is contractible since  $\text{Cob}_{0'}^{\mathcal{F}}(\mathcal{C}, \mathcal{Y})$  has an initial object.

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## Idea

The operation  $\mathcal{F} \mapsto \mathcal{F} \text{Q}_n(-)$  has a right adjoint  $\mathcal{F} \mapsto \mathcal{F} \text{Q}^n(-)$ , given by the *dual Q-construction*.

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- $Q^1(\mathcal{C}, \mathcal{Q})$  is the Poincaré  $\infty$ -category whose objects are *cospans* with hermitian structure given by  $\mathcal{Q}_1([X \rightarrow W \leftarrow X']) = \mathcal{Q}(X) \amalg_{\mathcal{Q}(W)} \mathcal{Q}(X')$ .

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- Similarly,  $Q^n(\mathcal{C}, \mathcal{Q})$  can be described as the  $\infty$ -category of diagrams encoding sequences of  $n$  composable cospans with hermitian structure given by a colimit on the diagram.
- There is also a dual to  $\text{Null}_n(\mathcal{C}, \mathcal{Q})$  which sits in a split Poincaré-Verdier sequence of the form

$$(\mathcal{C}, \mathcal{Q}) = Q^0(\mathcal{C}, \mathcal{Q}) \rightarrow Q^{n+1}(\mathcal{C}, \mathcal{Q}) \rightarrow \text{Null}^n(\mathcal{C}, \mathcal{Q})$$

# End of proof

Mapping into a test object  $\mathcal{G}$  and using adjunction, it will suffice to show that for  $\mathcal{G}$  additive the square

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$$\mathcal{G}(Q^n(\mathcal{C}, \mathcal{Q}^{[-1]})) \rightarrow \mathcal{G}(\mathrm{Null}^n(\mathcal{C}, \mathcal{Q}^{[-1]})) \rightarrow \mathcal{G}(\mathcal{C}, \mathcal{Q})$$

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Since  $\mathcal{G}$  is additive, it will suffice to verify that

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is split Poincaré-Verdier sequence. This can be deduced from the dual statement by applying to general principles, but can also be verified by hand once all definitions are unwind.